

TRANSACTION REQUEST FOR PURCHASE / SWITCH / REDEMPTION

BARODA PIONEER MUTUAL FUND



KEY PARTNER / DISTRIBUTOR INFORMATION (Investors applying under Direct Plan must mention "Direct" in the ARN column below.)

| | | | |
|-------|-----------------|-----------|--|
| ARN | Sub Broker Code | L.G. Code | Employee Unique Identification Number (EUII) |
| ARN - | | | |

Declaration for "execution-only" Purchase/Switch transaction (only where EUII box is left blank)

I/We hereby confirm that the EUII box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee / relationship manager / sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

| | | |
|---|--|--|
| 1 st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression | 2 nd Applicant Signature / POA Signature / Thumb Impression | 3 rd Applicant Signature / POA Signature / Thumb Impression |
|---|--|--|

Important : Please strike off any unused portion of this sheet.

\$ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTER SIGNED.

Existing Folio Number

NAME OF THE UNIT HOLDER Name

ADDITIONAL PURCHASE (Please write your folio number and name on the reverse of the cheque/DD/Payment Instrument no.)

Amount (in ₹) Drawn on (Bank) Cheque/DD/Payment Instrument no.

Scheme / Plan / Option[^]:

In case the Additional Purchase amount is ₹ 10,000 or more and your Distributor has opted in to receive Transaction Charges, ₹100/- will be deducted from the purchase amount and paid to the Distributor. Units will be issued against the balance amount invested - refer clause 7 for more details

SWITCH REQUEST (Please any one only) All Clear Units No. of Units : Amount (in ₹)

From Scheme :

Plan / Option[^]: (Switch-Out Scheme for Switch Request)

To Scheme :

Plan / Option[^]: (Switch-In Scheme for Switch Request)

Investors applying under Direct Plan must mention "Direct" against the Scheme name. Default Plan / Option will apply if the choice of Plan / Option is not indicated.

REDEMPTION Subject to Lock-in Period, if any (Please only one of the below options.)

Redeem All Clear Units No. of Units : Amount (in ₹)

Amount in words (₹)

IFSC Code for NEFT / RTGS (If not provided earlier for electronic payment)

Scheme / Plan / Option :

Note : If a redemption request is submitted alongwith a request for changing the bank account details, the redemption will be processed into the registered/default bank account in the aforesaid scheme/folio and the request for changing the bank account details will not be processed.

* For investors who have registered for Multiple Bank Accounts facility in the above folio:

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us. (This bank account has already been registered in the folio):

Name of the Bank Account No.

* Important Note : If the bank account mentioned above is different from those already registered in your folio OR if the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Baroda Pioneer Mutual Fund or Baroda Pioneer Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered for the aforesaid folio.

Note : Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various including the service rendered by the ARN Holder.

I/We have read & understood the contents of the SID and KIM of the Scheme and the SAI. I/We hereby apply for units of the Scheme & agree to abide by the terms, conditions, rules & regulations governing the Scheme. I/ We hereby declare that the amount invested in the Scheme is through legitimate sources only & does not involve & is not designed for the contravention of any Act, Rule, Regulation, Notification or Direction or the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable law enacted by the Govt. of India or any other statutory authority from time to time. I / We have understood the details of the Scheme and in the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/we hereby authorise the AMC to redeem the funds invested in the Scheme, in favour of the first applicant at the applicable NAV prevailing on the date of such redemption and to undertake such other action with such funds as may be required by law. b) For NRIs : I/we confirm that I am/we are Non Residents of Indian Nationality / Origin & that I /we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External / Non-Resident Ordinary Account. I/we confirm that details provided by me / us are true and correct. I/ We confirm that I / we hold a valid PAN card. c) The ARN holder has disclosed to me / us all the commission (in the form of trail commission or any other mode), payable to him / it for the different competing schemes of various mutual funds from amongst which the Scheme is being recommended to me/us.

| | | |
|---|--|--|
| 1 st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression | 2 nd Applicant Signature / POA Signature / Thumb Impression | 3 rd Applicant Signature / POA Signature / Thumb Impression |
|---|--|--|

\$ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTERSIGNED.

ACKNOWLEDGEMENT (To be filled in by the Unit holder)

Folio No.

Sole / First Unit Holder

Scheme / Plan / Option :

ADDITIONAL PURCHASE Amount (in ₹) Cheque/DD/Payment Instrument no.

Drawn on (Bank) Bank Name

SWITCH REQUEST (Please any one only) All Free Units No. of Units : Amount (in ₹)

Scheme / Plan / Option : (Switch-In Scheme for Switch Request)

REDEMPTION All Free Units No. of Units : Amount (in ₹)

TIME STAMP (For Office Use Only)

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