

**INDIABULLS ASSET MANAGEMENT COMPANY LIMITED**

**Corporate Office:**

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**REGISTRATION CUM AUTO DEBIT / ECS MANDATE FORM**

Fill the form in BLOCK letters only | Leave one space between words

Distributor ARN Code	Sub-Distributor ARN Code	Internal Sub-Broker / Employee Code	EUIN	Application No.
				C

Up front commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

I/We, hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Sign Here First / Sole Applicant /Guardian POA	Sign Here Second Applicant	Sign Here Third Applicant
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**Transaction charges for applications through distributors only**

I confirm that I am a first time investor across Mutual Funds. (₹ 150/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)

I confirm that I am an existing time investor across Mutual Funds. (₹ 100/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)

**REGISTRATION CUM MANDATE FORM FOR AUTO DEBIT / ECS (Debit Clearing) Please Tick**

New SIP Registration - by existing investor (Refer Instructions)  Cancellation of SIP

New SIP Registration - by new investor (Also attach the new application form duly filled & Signed)  Change of Bank Account  
*Please provide cancelled cheque*

**APPLICANT DETAILS**

Name  FIRST  MIDDLE  LAST  Folio No

Mr Ms M/s

PAN  PAN Proof Enclosed please

**INITIAL INVESTMENT DETAILS (Refer Instruction No.12)**

Scheme Name: \_\_\_\_\_

PLAN:  Direct Plan  Existing/ Regular Plan **OPTIONS:**  Growth  Dividend ( Payout  Reinvestment) (Frequency: \_\_\_\_\_)  Bonus

Trigger options under bonus option:  AIRF- Auto investment Redemption Facility ( 3 Years  5 Years) ( Payout  Reinvestment)

ABRF- Auto investment Redemption Facility ( 3 Years  5 Years) ( Payout  Reinvestment)  Both: ( 3 Years  5 Years) ( Payout  Reinvestment)

Cheque / DD No  Cheque / DD Date  DD Charge Rs.  Cheque / DD Net Amount Rs.

Bank Name  Branch  City

**SIP DETAILS**

Frequency Please  Monthly  Quarterly **SIP Date Please  1  5  10  15  20  25**

SIP AMOUNT ₹ \_\_\_\_\_ (In figures) \_\_\_\_\_ (In words)

Enrolment Period From  To

I/We hereby authorise Indiabulls Mutual Fund/ Indiabulls Asset Management Company Limited and their authorised service providers, to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit / Standing Instruction for collection of SIP payments.

**BANK ACCOUNT DETAILS as in Bank Records**

1st/ Sole Account holder Name  FIRST  MIDDLE  LAST

2nd Account holder Name  FIRST  MIDDLE  LAST

3rd Account holder Name  FIRST  MIDDLE  LAST

Mr Ms M/s

A/c Type please  SB  Current  NRO  NRE  FCNR A/c Number

Bank Name  Branch  City

PIN CODE  11 Digit IFSC Code  9 Digit MICR Code

**Mandatory:** Please enter the 9 digit cheque number that appears after your cheque number. MICR code starting and / or ending with 000 are not valid for ECS.

**Mandatory Enclosure:** Blank Cancelled Cheque Or Copy of Cheque

**DECLARATION**

I/We wish to inform you that I/we have registered with Indiabulls Mutual Fund through their authorised Service Provider(s) and representative for my/our payment to the above mentioned beneficiary by debit to my/our above mentioned bank account. For this purpose I/We hereby approve to raise a debit to my/our above mentioned account with your branch. I/We hereby authorize you to honour all such requests received through to debit my/our account with the amount requested, for due remittance of the proceeds to the beneficiary. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Mutual Fund or the responsible. If the date of debit to my/our account happens to be a non business day as per the Mutual Fund or a Bank holiday, execution of the transaction will happen next working day and allotment of units will happen as per the Terms and Conditions listed in the Document of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of this service, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the above mentioned Banks reasonable control and which has the effect of preventing the performance this service by the above mentioned Bank. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall not have any claim against the Bank in respect of the amount so debited pursuant to the mandate submitted by me/us. I/We shall keep the Bank and, jointly and or severally indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by the Bank and, by reason of their acting upon the instructions issued by the above named authorized signatories/beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter withdrawing the mandate signed by the authorized signatories/beneficiaries and acknowledged at your counters and giving reasonable notice to effect such withdrawal. The ARN holder has disclosed to me/us all the commissions (in the form of trail commissions or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**SIGNATURE/S AS PER INDIABULLS RECORDS MANDATORY**

Sole / 1st Applicant / Guardian Authorised Signatory	<input type="text"/>
2nd Applicant / Guardian Authorised Signatory	<input type="text"/>
3rd Applicant / Guardian Authorised Signatory	<input type="text"/>

**SIGNATURE/S AS PER BANK RECORDS MANDATORY**

Sole / 1st Applicant / Guardian Authorised Signatory	<input type="text"/>
2nd Applicant / Guardian Authorised Signatory	<input type="text"/>
3rd Applicant / Guardian Authorised Signatory	<input type="text"/>

**FOR OFFICE USE ONLY Not to be filled by the Investor**

Recorded on <input type="text"/>	Scheme Code <input type="text"/>
Recorded by <input type="text"/>	Credit A/c No. <input type="text"/>
Bank use Mandate Ref. No. <input type="text"/>	Customer Ref. No. <input type="text"/>

## INSTRUCTIONS cum TERMS AND CONDITIONS FOR AUTO DEBIT

SIP payment through Auto Debit via Direct Debit and Electronic Clearing Service (ECS Clearing) of the Reserve Bank of India (RBI)

### LIST OF CITIES FOR SIP FACILITY VIA ECS (DEBIT CLEARING) (87 CENTERS)

Delhi , Ludhiana, Amritsar, Jalandhar, Chandigarh , Shimla ,Jammu, Kanpur, Allahabad, Varanasi , Lucknow, Dehradun, Gorakhpur, Agra, Jaipur, Bhillwara, UdaiPur, Jodhpur, Rajkot, Jamnagar, Ahmedabad, Baroda, Surat, Mumbai, Goa,Pune,,Sholapur, Kolhapur, Nasik, Aurangabad, Nagpur, Indore, Bhopal, Gwalior, Jabalpur, Raipur, Hyderabad, Tirupati, Vijaywada, Nellore, Vizag, Kakinada, Bangalore, Mysore, Mangalore, Udipi, Hubli , Gadag, Bijapur, Belgaum, Davangere, Shimoga,Tumkur, Mandya, Hasan, Gulbarga, Raichur, Chennai, Pondicherry, Trichy, Madurai, Salem, Erode, Tiruppur, Tirunelveli, Coimbatore, Calicut, Trichur, Cochin, Trivandrum, Kolkata, Bardhaman, Durgapur, Siliguri, Bhubaneshwar,Guwahati, Patna, Dhanbad,Jamshedpur, Ranchi, Haldia, Asansol, Cuttack ,Bikaner, Gangtok, Anand, Bhavnagar, Kota, Imphal, Shillong

#### I. GENERAL INSTRUCTIONS

- Please refer the SID, SAI and Key Information Memorandum, Addenda issued from time to time and our website [www.indiabullsrfm.com](http://www.indiabullsrfm.com) for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
- This facility is only offered to those investors having bank accounts in select cities as mentioned above. The list of cities, may be modified/updated/changed/removed at any time in future at the discretion of AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
- PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification and address proof documents in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
- If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
- In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
- Complete application form and SIP Autodebit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
- The bank account provided for ECS should participate in local MICR clearing. In case MICR code is not provided or incorrect code is mentioned on the application form, the application for SIP will be liable to be rejected.
- The first SIP cheque /draft could be of any Business day but subsequent cheques or ECS /Auto Debit Transactions should be dated 1<sup>st</sup> or 5<sup>th</sup> or 10<sup>th</sup> or 15<sup>th</sup> or 20<sup>th</sup> or 25<sup>th</sup> and there should be minimum gap of at least 30 Days between the 1st SIP and the 2nd SIP or as specified by IBMF from time to time
- If the date on the cheque/draft/ECS/Auto Debit Transactions is a non - Business Day for the scheme ,then the units shall be allotted on the next Business Day.
- In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
- It will take a minimum of 30 days for registration with the bank and hence the first debit will be carried out only after 30 days, on the SIP start date mentioned on the form.
- The SIP period should cover minimum SIP instalment amount: Monthly: Rs. 1000/- and in multiples of Re. 1/- thereafter and Quarterly: Rs. 3000/- and in multiples of Re. 1/- thereafter.
- Minimum No. of SIP installments : monthly - 12 installments, quarterly – 4 installments [including the first SIP cheque]. The Mutual Fund and Registrar reserve the right to modify the SIP period depending on the 30 days period for registration and to ensure minimum instalments.
- If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. In case of the auto debit facility, the default options (**where auto debit period, frequency and SIP date are not indicated**) will be as follows:
  - SIP auto debit period: The SIP auto debit will continue till 5 years.
  - SIP date: 15th of the month (commencing 30 days after the first SIP installment date); and
  - SIP frequency: Monthly.
- The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
- The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction / Direct debit / Auto Debit. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
- The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay.

#### II. DOCUMENTS REQUIRED

##### New Investors are required to submit the following documents:

- SIP Enrolment along with Registration cum Auto Debit/ECS Mandate Form.
- The Initial investment amount cheque should be issued from the same bank account which is to be debited under ECS/Auto Debit for SIP instalments
- A photo copy/cancelled cheque from ECS Debit Account (as mentioned on the application form should be submitted along with other requirements.

##### Existing Investors are required to submit SIP Registration cum Auto Debit/ECS Mandate Form.

#### III. CHANGE OF BANK

In order to change the existing bank account for NECS/SIP Auto Debit investors need to submit the Autodebit form selecting a change of bank option in the form to the existing SIP, 30 days before the next SIP debit.

#### IV. DISCONTINUE / CANCELLATION OF SIP

The investor has the right to discontinue SIP at any time he/she so desires by sending a written request 30 days in advance of the immediate next due date to any of the offices of Indiabulls Mutual Fund or its Authorized Collection Centres. On receipt of such request SIP will be terminated. Further, Indiabulls Mutual Fund shall have the right to discontinue the SIP in case of 3 consecutive failures.

#### V. PAN and KYC

PAN & KYC is mandatory irrespective of amount of investment, except in case of MICRO SIP (Refer General Instruction No II, 6 & 7 for details)