



PLEASE REFER TO THE PRODUCT LABEL SECTION ON PAGE 31 BEFORE FILLING THIS FORM



Expertise that's trusted

Common Application Form (except for Tata Retirement Savings Fund & Tata Young Citizens' Fund)

Sr. No.:

I. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund) refer instruction A16 & K FOR OFFICE USE ONLY (TIME STAMP)

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sole / 1st Unitholder Signature / Thumb Impression 2nd Unitholder Signature / Thumb Impression 3rd Unitholder Signature / Thumb Impression

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Inst. A15 and please tick (✓) any one)

I confirm that I am a First time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor) I confirm that I am an existing investor in Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive transaction charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

2. EXISTING UNITHOLDER INFORMATION (please fill in your Folio Number, Name & proceed to Scheme Investment Details)

Existing Folio Number: Name of Sole/1st Applicant:

3. KYC DETAILS (Mandatory) 1st Unitholder

STATUS: Resident Indian NRI PIO HUF Minor RI Minor NRI Trust Proprietorship LLP Partnership Public Ltd. Co. Pvt. Ltd. Co. Non Profit Organisation Societies FOF Body Corporate Others OCCUPATION: Private Sector Service Public Sector Service Business Retired Government Sector Agriculturist Professional Forex Dealer Housewife Student Others Gross Annual Income: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore Networth in (Mandatory for Non-individual) For Individuals: Politically Exposed Person Related to Politically Exposed Person Not Applicable For Non-Individual Investors (Companies, Trust, Partnership etc): Is the company a Listed Company or Subsidiary of Listed Company or or Controlled by a Listed Company: (if No, please attach mandatory UBO declaration): Yes No Non Individual investors involved/providing any of the mentioned services Foreign Exchange / Money Changer Services Money Lending / Pawning Gaming / Gambling / Lottery / Casino Services None of the above

4. APPLICANT'S PERSONAL DETAILS (Fill in Block Letters, use one box for one alphabet leaving one box blank between two words, as it appears in your Bank A/c & KYC letter)

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s. MODE OF HOLDING Single Joint (Default) Anyone or Survivor(s) 1st holder PAN/PEKRN KYC Copy attached Date of Birth Proof of DOB (Mandatory for minor) Birth Certificate School Leaving Certificate Passport Other Name of Guardian (In case of Minor) Contact Person/Designation (In case of non-individual Investors) Mr. Ms. Guardian's PAN KYC Copy attached Proof of relationship with minor (Mandatory) Mother / Father / Legal Guardian Birth Certificate School Leaving Certificate Passport Other

SECOND APPLICANT DETAILS Mr. Ms.

Name 2nd holder PAN/PEKRN KYC Copy attached OCCUPATION: Private Sector Service Public Sector Service Government Sector Business Professional Agriculturist Retired Housewife Student Forex Dealer Others GROSS ANNUAL INCOME: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore; Networth in ₹ OTHERS: Politically Exposed Person Related to Politically Exposed Person Not Applicable MANDATORY

THIRD APPLICANT DETAILS Mr. Ms.

Name 3rd holder PAN/PEKRN KYC Copy attached OCCUPATION: Private Sector Service Public Sector Service Government Sector Business Professional Agriculturist Retired Housewife Student Forex Dealer Others GROSS ANNUAL INCOME: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore; Networth in ₹ OTHERS: Politically Exposed Person Related to Politically Exposed Person Not Applicable MANDATORY

5. MAILING ADDRESS AND CONTACT DETAILS OF SOLE / FIRST APPLICANT (P.O. Box Address may not be sufficient. Please provide your complete Address)

City Pin State Country Phone O (STD Code) Extn. Fax R (STD Code) Mobile E-mail (IN CAPITAL) [If you wish to receive Account Statement / Annual Report / Other Statutory Information via Post instead of Email (Refer Inst. - C9)].

ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)

Received Subject to realisation and verification an application for purchase of units as mentioned in the application form. from

Sr. No.:

Table with 3 columns: Scheme, Cheque no., Amount

Signature, Stamp & Date

Overseas Address (Mandatory in case of NRI applicant in addition to mailing address)

Zip code	City	Country

6. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction I and J

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank _____

Branch _____ Account Type Savings Current NRO NRRN NRE

Account No. (in Fig.) _____

Bank Address _____

City _____ State _____ PIN _____

^MICR Code _____ *IFSC Code (RTGS) _____ *IFSC Code (NEFT) _____

^(To be filled in only if dividend is to be paid through ECS). *This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)

7. SCHEME DETAILS Refer Instruction D and Page I & 2

Scheme / Plan: _____

Options: Growth Dividend **For Dividend option only:** Sub-Option: _____ Payout option: Payout Reinvestment

8. MY INVESTMENT GOAL (choose anyone (✓) (Refer Instruction E)

Marriage Vacation Dream Home Dream Car Retirement Children's Education Children's Marriage.

Target Amount Rs. _____

9. INVESTMENT DETAIL (Strike off whichever is not applicable)

Gross Amount (A)	DD Charges (if any) (B)	Net Amount (Cheque / DD Amount)
₹ _____ A	₹ _____ B	₹ _____ A minus B
Mode of Payment _____	A/c No. _____ A/c Type _____ Cheque / DD No. _____	Dated _____ D D / M M / Y Y Y Y
Drawn on Bank _____	Branch _____ Branch City _____	

10. NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) Refer Instruction M (MANDATORY)

Please select any one of the follows:

Please register nomination as requested below (please fill the nomination form below) I wish to nominate multiple nominees (please strike out the form below & fill separate form attached herewith) I do not wish to nominate.

I/We hereby nominate the person more particularly described hereunder to receive the Units allotted to me/us/credit in my/our folio in the event of my/our death. I/We understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge of the AMC / Mutual Fund / Trustees.

Name _____ Address _____
Nominee's relationship with 1st holder _____

If Nominee is Minor:
Date of Birth _____ Proof of DOB Birth Certificate School Leaving Certificate Passport Others _____

Name & Address of Guardian: _____

Relationship of the Nominee with the Guardian Mother Father Legal Guardian

Proof of relationship: Birth Certificate School Leaving Certificate Passport Others _____ Sign of Nominee/Guardian (in case of minor nominee)

11. DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant). In case Unit holders do not provide their Demat Account details, Units will be allotted in physical form. (Refer Instruction L)

National Securities Depository Limited	Depository participant Name _____ DP ID No. _____ I N _____ Beneficiary Account No. _____	Central Depository Securities Limited	Depository participant Name _____ Target ID No. _____
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12. DECLARATION AND SIGNATURES. Refer Instruction - C

The Trustee, Tata Mutual Fund

a.) Having read & understood the contents of the Scheme Information Document of the Scheme & reinvestment scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt. of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b.) **For NRIs:** I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. I/ We confirm that details provided by me / us are true & correct. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d.) I/We have read & understood the SEBI Circular no. MRD/DoP/Cir- 05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/ we are holding valid PAN card / have applied for PAN. e.) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

Date: _____

1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
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CHECKLIST

Toll Free: 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Documents as listed below are submitted along with this application.

Document List	Document List	Document List
1. KYC <input type="checkbox"/>	5. Bye-Laws <input type="checkbox"/>	9. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>
2. Resolution / Authorisation to invest <input type="checkbox"/>	6. Partnership Deed <input type="checkbox"/>	10. MICROSIP document <input type="checkbox"/>
3. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	7. Overseas Auditor's Certificate <input type="checkbox"/>	11. Others _____
4. Trust Deed <input type="checkbox"/>	8. Notarised Power of Attorney <input type="checkbox"/>	

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.